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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 2 2001

In re Application of: Rodgers et al.

Serial No.: 09/707,782

Filed: November 7, 2000

For: SYSTEM AND METHOD FOR TRADING
OPTIONS

Group Art Unit: To be
assigned

Examiner: To be assigned

Technology Center 2100

MAR - 2 2001

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I hereby certify that this paper is being
deposited with the United States Postal
Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents
Washington, DC 20231, on February 7, 2001.

Chase Romick 45,051
Name Reg. No.

Chase Romick 2-7-01
Signature Date

February 7, 2001

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with the provisions of MPEP § 609 and 37 C.F.R. §§ 1.97 and

CF

1.98, enclosed for consideration by the Examiner is a Form PTO-1449. Copies of the references listed on the form PTO-1449 are also enclosed.

Applicant is submitting this paper within three months of the filing date of the application and before receipt of the first Office Action and, accordingly, no fee is due. See 37 C.F.R. §1.97(b)(1) and (3). However, if a fee is required, and the Commissioner is authorized to debit Deposit Account 23-1703.

Favorable consideration and allowance of the claims in the application are requested.

Respectfully submitted,

WHITE & CASE LLP

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Attorneys for Applicants